

Image 1642

TRANSMITTAL LETTER				Case No. 10466/129
Serial No. 09/943,780	Filing Date August 30, 2001	Examiner Larry R. Helms	Group Art Unit 1642	
Inventor(s) Baker, et al.				
Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME				

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is THE FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (in duplicate); FORM PTO-1449; COPY OF REFERENCE; AND A PREPAID POST CARD for the above-identified case.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- Applicant claims small entity status. See 37 CFR 1.27.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Small Entity	Other Than Small Entity
Total	Minus			x \$9 =	x \$18 =
Indep.	Minus			x 42 =	x \$84 =
First Presentation of Multiple Dep. Claim				+ \$140 =	+ \$280 =
				Total add'l fee	Total add'l fee

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$180.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

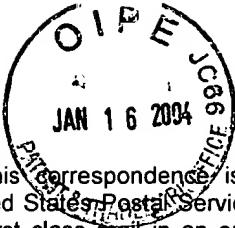
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 14, 2003.

Date: Jan. 14, 2003 Signature: C. Noel Kaman



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Alexandria, VA 22313-1450  
14 January 2004

Date of Deposit

C. Noel Kaman, Reg. No. 51,857

Name of Applicants, assignee or  
Registered Representative

C. Noel Kaman

Signature

14 January 2004

Date of Signature

Our Case No.: 10466/129  
P2548P1C10

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Baker et al.

Serial No.: 09/943,780

Filing Date: 08/30/2001

For: Secreted and transmembrane  
polypeptides and nucleic acids  
encoding the same

Examiner: Larry R. Helms

Group Art Unit No.: 1642

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

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The references now cited are the following:

No.	Issue Date	Filing Date	Inventor Name	Patent No.
B1	May 1, 2001	April 21, 1998	Holtzman et al.	6,225,085

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 1.97(c). A check in the amount of \$180.00 for the fee set forth in 37 C.F.R. §§ 1.97(c) and 1.17(p) is enclosed. Should any other fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct those fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed document be made of record in the present case.

Respectfully submitted,

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Registration No. 51,857  
Attorney for Applicant(s)

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FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/943,780	CASE NO. 10466/129
	FILING DATE 08/30/2001	GROUP ART UNIT 1642
	APPLICANT(S): Baker et al.	

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	ISSUE DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
B1	6,225,085	May 1, 2001	Holtzman et al.	435	April 21, 1998

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	<b>OTHER ART - NON PATENT LITERATURE DOCUMENTS</b> <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.